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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,307	11/26/2001	Joel R. Haynes	APF 41.20

CONFIRMATION NO. 5358

## FORMALITIES LETTER



\*OC00000007157692\*

Thomas P. McCracken  
POWDERJECT TECHNOLOGIES INC.  
6511 Dumbarton Circle  
Fremont, CA 94555

Date Mailed: 12/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/09/2002 MBERHE 00000042 500828 09993307

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 CH
02 FC:105	130.00 CH
03 FC:103	1080.00 CH
04 FC:102	84.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1164.
  - \$1080 for 60 total claims over 20.
  - \$84 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2034.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and,

where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).


- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)**

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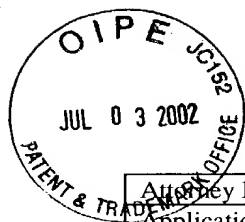
*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



09993307.070302

MP

**FEE TRANSMITTAL**

Agency Docket No.:	<b>APF 41.20</b>	First Named Inventor:	<b>Haynes</b>
Application No.:	<b>09/993,307</b>	Filing Date:	<b>26 November 2001</b>
Examiner:	<b>Not Yet Assigned</b>	Group Art Unit:	<b>Not Yet Assigned</b>

**Description of Action**

This Fee Transmittal sheet is being submitted in connection with the accompanying **Reply to Notice to File Missing Parts**.

**Authorization to Charge Deposit Account**

The Assistant Commissioner for Patents is hereby authorized to charge the indicated fees against, and credit any overpayments to, the following deposit account. The Assistant Commissioner is further authorized to charge any additional fees required under 37 CFR §§ 1.16 and 1.17 against the same deposit account.

Deposit Account No: **50-0828**Deposit Account Name: **PowderJect Technologies**

No fee(s) are entered below because it is believed that none are required in connection this action. However, in the event fee(s) are required, the Assistant Commissioner is authorized to charge any fee(s) under 37 CFR §§ 1.16 and 1.17 against the above deposit account. (Check this box only if no fee(s) are entered below.)

**Fee Calculations****(1) Filing fee (large entity) (if applicable)**

Description	Fee Paid
Basic fee, utility application (37 CFR 1.16(a))	<b>(\$) 740</b>

**(2) Extra claim fees (large entity) (if applicable)**Claims in specification as filed

	No. in Specification or after Amendment	No. Included in Filing Fee or Previously Paid For	No. Extra (b)	Applicable Fee	Fee Paid
Total Claims	<b>80</b>	<b>- 20 =</b>	<b>60</b>	<b>x 18 (ea.)</b>	<b>1080</b>
Independent Claims	<b>4</b>	<b>- 3 =</b>	<b>1</b>	<b>x 84 (ea.)</b>	<b>84</b>
Multiple dependent claim(s) presented for first time (c)					<b>No 260</b>
Subtotal (2) Extra claim fees					<b>(\$) 1164</b>

**(3) Additional or other fees (large entity) (if applicable)**

Description	Fee Paid
Late Filing of Declaration (37 CFR 1.27)	<b>130</b>
Subtotal (3) Additional or other fees	<b>(\$) 130</b>

**Total Fees**

Total Fees Submitted (Sum of Subtotals (1), (2), and (3))	<b>(\$) 2,034</b>
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**This sheet is transmitted in duplicate.****CERTIFICATE OF DEPOSIT**

I hereby certify that this paper or fee is being hand-deposited with the United States Patent and Trademark Office, Attn: Box Missing Parts, on the date indicated below:

Date of deposit:

7/3/02

Name (printed):

Jennifer Lohse

Signature:

Jennifer Lohse

Respectfully Submitted

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